

**PATENT**

UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. MBHB02-742-O (400/144))

**TRANSMITTAL LETTER**

Mail Stop OIPE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
  - a) Request for Corrected Filing Receipt; and
  - b) Copy of Filing Receipt
2. With respect to fees:
  - a) It is believed no fee is due at this time.
3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being transmitted via facsimile to the Mail Stop OIPE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 (Fax No. (703) 746-9195) on June 23, 2004.

Respectfully submitted,  
McDonnell Boehnen Hulbert & Berghoff LLP

By: Anita Terpstra  
Anita J. Terpstra  
Registration No. 47,132

Date: June 23, 2004

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**PATENT**

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. MBHB02-742-O (400/144))

IN THE APPLICATION OF: )  
McSwiggen, et al. )  
Serial No. 10/764,957 ) Examiner: To Be Assigned  
Filed: January 26, 2004 ) Group Art Unit: 1632  
Title RNA Interference Mediated ) Confirmation No.: 9923  
Inhibition Of Vascular Endothelial )  
Growth Factor And Vascular )  
Endothelial Growth Factor Receptor )  
Gene Expression Using Short )  
Interfering Nucleic Acid (siNA) )

**REQUEST FOR CORRECTED FILING RECEIPT**

Mail Stop OIPE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Attention: Office of Initial Patent Examination's  
Filing Receipts Corrections**

1. Attached is a copy of the filing receipt received from the PTO in the above-referenced application for which issuance of a new corrected filing receipt is respectfully requested.
  
2. There is an error with respect to the following data, which is incorrectly entered.

## Error in

**Domestic Priority data as claimed by applicant:**

This application is a CIP of 10/670,011 09/23/2003

which is a CIP of 10/665,255 09/16/2003

which is a CIP of PCT/US03/05022 02/20/2003

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1

APPLICATION NO. 10/764,557  
REQUEST FOR CHARGED FILING RECEIPT MADE JUNE 23, 2004  
ATTORNEY DOCKET NO. MBMB02-742-Q (400/144)

which claims benefit of 60/358,580 02/20/2002  
and claims benefit of 60/363,124 03/11/2002  
and claims benefit of 60/386,782 06/06/2002  
and claims benefit of 60/393, 796 07/03/2002  
and claims benefit of 60/399,348 07/29/2002  
and claims benefit of 60/406,784 08/29/2002  
and claims benefit of 60/408,378 09/05/2002  
and claims benefit of 60/409,293 09/09/2002  
and claims benefit of 60/440,129 01/15/2003  
and is a CIP of 10/306,747 11/27/2002  
which claims benefit of 60/334,461 11/30/2001  
and said PCT/US03/05022 02/20/2003  
is a CIP of 10/287,949 11/04/2002

### **Correct Data**

Domestic Priority data as claimed by applicant:

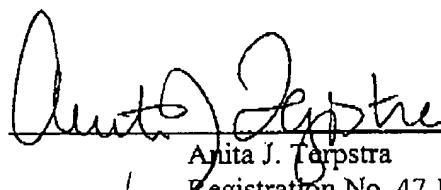
This application is a CIP of 10/670,011 09/23/2003  
which is a CIP of 10/665,255 09/16/2003  
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is a CIP of 10/287,949 11/04/2002  
and said PCT/US03/05022 02/20/2003  
is a CIP of PCT/US02/17674 05/29/02

3. The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,  
McDonnell, Boehnen, Hulbert & Berghoff LLP

Date: June 23, 2004

By:   
Anita J. Tarpenstra  
Registration No. 47,132



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/764,957	01/26/2004	1632	502	MBHB02-742-O (400/144)	15	33	3

020306  
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 CHICAGO, IL 60606

## DOCKETED

MAY 11 2004  
 IDS-4-26-04 /FF-126/05  
 DUE DATE: \_\_\_\_\_  
 BY M3       

CONFIRMATION NO. 9923

## FILING RECEIPT



'OC000000012555034'

Date Mailed: 05/07/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

James McSwiggen, Boulder, CO;  
 Leonid Belgelman, Longmont, CO;  
 Pamela Pavco, Lafayette, CO;

This application is a CIP of 10/670,011 09/23/2003  
 which is a CIP of 10/665,255 09/16/2003  
 which is a CIP of PCT/US03/05022 02/20/2003  
 which claims benefit of 60/358,580 02/20/2002  
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 and claims benefit of 60/399,348 07/29/2002  
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## Domestic Priority data as claimed by applicant

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## Foreign Applications

UNITED STATES OF AMERICA PCT/US02/17674 05/29/2002

UNITED STATES OF AMERICA PCT/US03/05022 02/20/2003

**If Required, Foreign Filing License Granted: 05/06/2004****Projected Publication Date: To Be Determined - pending completion of Missing Parts****Non-Publication Request: No****Early Publication Request: No****\*\* SMALL ENTITY \*\*****Title**

RNA interference mediated inhibition of vascular endothelial growth factor and vascular endothelial growth factor receptor gene expression using short interfering nucleic acid (siNA)

**Preliminary Class**

514

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).